

PATENT Customer No. 22,852 Attorney Docket No. 08137.0004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Hiroshi SHINRIKI et al.) Group Art Unit: 1762
Application No.: 09/657,627) Examiner: B. Chen
Filed: September 8, 2000	\
For: METHOD OF FORMING A THIN FILM))
Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	
Sir:	•

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)(4)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b)(4), Applicants bring to the attention of the Examiner the documents listed on the attached Form PTO 1449. This Information Disclosure Statement is being filed under the provisions of 37 C.F.R. § 1.97(b)(4), along with a Request for Continued Examination under 37 C.F.R. § 1.114.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached Form PTO 1449.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims in the application and Applicants determine

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1300 I Street, NW Washington, DC 20005 202.408.4000 Fax 202.408.4400 www.finnegan.com that the cited documents do not constitute "prior art" under United States law,

Applicants reserve the right to present to the U.S. Patent and Trademark Office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Information Disclosure Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Dated: November 12, 2003

David W. Hill

Reg. No. 28,220

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INFORMATION DISCLOSURE CITATION

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Atty. Docket No.	08137.0004	Appln. No.	09/657,627	
Applicant mann	Hiroshi SHINRIKI tal.			
Filing Date	September 8, 2000	Group:	1762	

U.S. PATENT DOCUMENTS						
Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date if Appropriate
	4,514,441	4/1985	J. Alexander			
	5,478,610	12/1995	Desu et al.			
	5,536,323	7/1996	Kirlin et al.			
	5,641,540	6/1997	Lee et al.			
, .	6,074,487	6/2000	Yoshioka et al.			
	6,312,816	11/2001	Roeder et al.			
	6,428,850	8/2002	Shinriki et al.			
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FOREIGN PATENT DOCUMENTS						
	Document Number	Publication Date	Country	Class	Sub Class	Translati n Yes or No
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner Date		Date Considered		
*Examiner:	Initial if reference considered through citation if not in conficommunication to applicant.	nsidered, whether or not citation is in conformance with MPEP 609; draw line it in conformance and not considered. Include copy of this form with next oplicant.		
Form PTO 14	149	Patent and Trademark Office - U.S. Department of Commerce		